

DOCKET NO.: CELL-0207



#5  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of: Brand et al.**

**Confirmation No.: 2092**

**Serial No.: 10/081,072**

**Group Art Unit: 1623**

**Filing Date: February 22, 2002**

**Customer No.: 23377**

**For: PHENYLALANINE ENAMIDE DERIVATIVES**

**EXPRESS MAIL LABEL NO: EL827 241 925US**  
**DATE OF DEPOSIT: May 31, 2002**

**OFFICE OF INITIAL PATENT EXAMINATION  
CUSTOMER SERVICE CENTER**

Assistant Commissioner for Patents  
Washington DC 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:

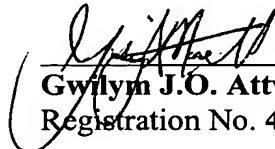
☒ incorrectly entered; and/or

☐ omitted.

Error in	Correct data
1. Applicant's name	1.
2. Applicant's address	2.
3. Title: <b>Phenylalanine Enamide Dericatives</b>	3. <b>Please change "Dericatives" to -- Derivatives --</b>
4. Filing Date	4.
5. Serial Number	5.
6. Foreign/PCT Application Re:	6.
7. Other:	7.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: *May 31, 2002*

  
Gwilym J.O. Attwell  
Registration No. 45,449

WOODCOCK WASHBURN LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/081,072	02/22/2002	1623	1022	CELL-0207		31	4

CONFIRMATION NO. 2092

WOODCOCK WASHBURN & ASSOCIATES  
46th Floor  
One Liberty Place  
Philadelphia, PA 19103RECEIVED  
APR 03 2002RECEIVED  
APR 03 2002

## FILING RECEIPT



\*OC000000007727172\*

DOCKET DEPT. WASHBURN & ASSOCIATES  
WWKMN L.L. WASHBURN & ASSOCIATES LLP

Date Mailed: 03/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Stephen Brand, Berkshire, UNITED KINGDOM;  
Stuart Bailey, Surrey, UNITED KINGDOM;  
Julien A. Brown, Berkshire, UNITED KINGDOM;  
James A. Johnson, Berkshire, UNITED KINGDOM;  
John R. Porter, Oxfordshire, UNITED KINGDOM;  
John C. Head, Berkshire, UNITED KINGDOM;RECEIVED  
APR 04 2002  
Stephanie Lasto  
WWKMN

## Domestic Priority data as claimed by applicant

## Foreign Applications

UNITED KINGDOM 0104418.9 02/22/2001  
UNITED KINGDOM 0114000.3 06/08/2001  
UNITED KINGDOM 0127562.7 11/16/2001

If Required, Foreign Filing License Granted 03/27/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

## Phenylalanine enamide derivatives

Preliminary Class

562

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,072	02/22/2002	Stephen Brand	CELL-0207

CONFIRMATION NO. 2092

FORMALITIES LETTER



\*OC000000007727173\*

WOODCOCK WASHBURN LLP  
46th Floor  
One Liberty Place  
Philadelphia, PA 19103

Date Mailed: 03/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

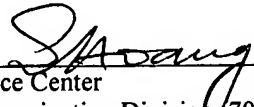
FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/07/2002 MBERHE 00000086 10081072

01 FC:105

130.00 OP

DOCKET NO.: CELL-0207



Receipt  
\$  
PATENT  
#4

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In Re Application of: Brand et al.

Confirmation No.: 2092

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For: PHENYLALANINE ENAMIDE DERIVATIVES

EXPRESS MAIL LABEL NO: EL827 241 925US  
DATE OF DEPOSIT: May 31, 2002

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION AND REQUEST FOR EXTENSION OF TIME**

In response to the "Notice to File Missing Parts of Nonprovisional Application--Filing Date Granted" dated **March 27, 2002**, the period for response expiring **May 27, 2002**. A petition incorporated hereto for an one-month extension of time to **June 27, 2002**, and the appropriate fee, accompany this response. Enclosed herewith for filing is:

**Declarations and Powers of Attorney**

- ☒ A Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EL827 241 863US on February 22, 2002.
- ☐ A Combined Declaration and Power of Attorney, executed by the inventor(s) and identifying fewer/more inventors than those identified in the unexecuted declaration filed with to the above-identified application specification on @@, via by Express Mail No. @. Such deletion/addition of inventors is specifically provided for in 37 C.F.R. Sec. 1.48.
- ☐ A copy of the original Declaration and Power of Attorney, executed by the inventor(s) as filed in parent application serial no. \_\_\_\_\_.

- ☐ A copy of the Power of Attorney with revocation filed on \_\_\_\_\_
- ☐ A Combined Power of Attorney with Revocation and Statement Under 3.73(b)
- ☐ An associate power of attorney executed by \_\_\_\_\_ who is listed on the original declaration/power of attorney.

**Drawings**

- ☐ \_\_\_\_\_ Sheets of Substitute drawings comprising Figures \_\_\_\_\_ in compliance with 37 C.F.R. 1.84.

**Sequence Listing** (Note - All items listed below are required if Sequence Listing is also being filed with Missing Parts)

- ☐ Paper copy of Sequence Listing
- ☐ Diskette containing Sequence Listing in computer readable form
- ☐ Amendment directing entry of sequence listing in specification
- ☐ Statement to Support Filing of Sequence listing

**Claim for Small Entity Status**

- ☐ Applicant(s) has previously claimed small entity status under 37 C.F.R. §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 C.F.R. §1.27 as:
  - ☐ an Independent Inventor
  - ☐ a Small Business Concern
  - ☐ a Nonprofit Organization

**Miscellaneous Items**

- ☒ A copy of the Notice to File Missing Parts.
- ☒ Request for Corrected Filing Receipt.

- ☐ Request for Refund Due to Small Entity Status
- ☐ Request for Refund Due to Fee Calculation Error
- ☐ A substitute specification in compliance with 37 C.F.R. 1.52.
- ☒ Other: Copy of Official Filing Receipt.

**Fee Calculation and Extension of Time**

- ☒ Request is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Notice to File Missing Parts of Nonprovisional Application dated **March 27, 2002** to and through **June 27, 2002**, comprising an extension of the shortened period of **one months**:

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
MISSING PARTS SURCHARGE	\$65	\$	\$130	<b>\$130.00</b>
<input checked="" type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$55	\$	\$110	<b>\$110.00</b>
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$200	\$	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$460	\$	\$920	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$720	\$	\$1440	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$980	\$	\$1960	\$
TOTAL FEE DUE				<b>\$240.00</b>

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 C.F.R. 1.207.

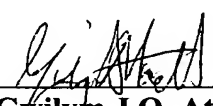
- ☒ A check in the total amount due of **\$240.00** is attached to cover the surcharge and any requested extension of time. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.



- ☒ Any additional filing fees required under 37 C.F.R. 1.16 including fees for presentation of extra claims.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 and under 37 C.F.R. 1.20(d).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17 and under 37 C.F.R. 1.20(d).
- ☐ The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).
- ☒ Any filing fees under 37 C.F.R. 1.16 including fees for presentation of extra claims.

This sheet is attached in duplicate.

Date: *May 31, 2002*

  
\_\_\_\_\_  
**Gwilym J.O. Attwell**  
Registration No. 45,449

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